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APPROVED FOR MAR 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **TSUDA, Hiroyuki**

Group Art Unit: **Not yet assigned**

Serial No.: **Not yet assigned**

Examiner: **Not yet assigned**

**(§371 of International Application Number PCT/JP2005/001460)**

Filed: **March 29, 2006**

For. **OPTICAL FUNCTIONAL WAVEGUIDE, OPTICAL MODULATOR, ARRAYED  
WAVEGUIDE GRATING, AND DISPERSION COMPENSATION CIRCUIT**

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: March 29, 2006

Sir:

Prior to calculation of the filing fee and examination of this application, please amend the  
above-identified patent application as follows: